

## Full Risk Register

### Risk Register - Public Health

Current Risk Level Summary

Green	0	Amber	11	Red	0	Total	11
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Current Risk Level Changes

0	1	3	0	0
0	0	3	0	0
0	0	2	1	1
0	0	0	0	0
0	0	0	0	0

Risk Ref	Risk Title and Event	Owner	Last Review da	Next Review
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<b>Risk Ref</b> PH0091	<b>Risk Title and Event</b> Increased Demand on Services	Victoria Tovey	31/01/2020	29/04/2020
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There is a risk that services may not have the capacity to deal with the additional demand and there is also a cost pressure associated with this.

Cause	Consequence	Current Risk	Previous Current Risk	Control / Action	Control / Action	Target Date	Target Risk
Increasing demand for Public Health Services due to changes in demography - for example growth in new births will increase the number of mandated contacts that Health visiting need to complete. Sexual health services have seen a continue rise of services.	We may be overspent on Sexual Health services or be unable to deliver against mandated requirements eg Health Visiting.	Medium 15 Significant (3) Very Likely (5)		<ul style="list-style-type: none"> <li>Transformation projects aim to introduce more digital solutions to assist with increasing demand.</li> <li>ongoing support from KCC property services to source appropriate sites for service delivery.</li> <li>Open book accounting with both providers and also NHSE to monitor costs. Quarterly meetings with NHSE to monitor this and wording in section 75 proposes that they meet any shortfall.</li> <li>Quarterly performance monitoring meetings provide opportunities to discuss service provision and for both parties to raise any concerns regarding levels of service, quality or risks can be discussed.</li> </ul>	Victoria Tovey -Accepted Victoria Tovey Control Victoria Tovey Control Victoria Tovey Control	31/03/2020	Low 5 Minor (1) Very Likely (5)

**Review Comments** continuing to monitor as part of both contract and budget monitoring meetings  
31/01/2020

## Strategic and Corporate Services

### Risk Register - Public Health

Risk Ref	Risk Title and Event	Owner	Last Review da	Next Review			
PH0088	<b>Increased demand on Drug &amp; Alcohol Services</b>	Victoria Tovey	31/01/2020	24/04/2020			
<p>There is a risk that services do not have capacity to see people being referred into the service, staff may also be required to attend the new MDT that are being set up and staff capacity for this may be difficult.</p>							
Cause	Consequence	Current Risk	Previous Current Risk	Control / Action	Control / Action	Target Date	Target Risk
Increasing demand on services both with people coming into service and expectations of being part of the new health structures MDTs	Which will lead to: Increasing waiting list, quality of services may reduce as case loads increase, service may not be able to meet targets due to capacity of providing a good, quality interventions. Staff wellbeing reduce due to additional case loads/work	Medium 15 Major (5) Possible (3)		• Capacity models have been developed to ensure services have the ability to meet need and activity can be adjusted accordingly	Victoria Tovey	Control	Medium 8 Moderate (2) Likely (4)
<b>Review Comments</b>	drug costs are continuing to be reviewed at both contract and budget monitoring meetigns 31/01/2020						

## Strategic and Corporate Services

### Risk Register - Public Health

Risk Ref	Risk Title and Event	Owner	Last Review da	Next Review			
PH0087	<b>Brexit</b>	Victoria Tovey	31/01/2020	01/06/2020			
<p>There is a risk that:</p> <ul style="list-style-type: none"> <li>- due to the close proximity to boarder of France, sever traffic congestions may occur.</li> <li>-supply issues on medication for substance misuse may be limited, due to the drugs being made outside of the UK.</li> </ul>							
Cause	Consequence	Current Risk	Previous Current Risk	Control / Action	Control / Action	Target Date	Target Risk
Britain's Exit from European Union	Staff not being able to drive or travel easily across Kent, service can be disruptive and target may not be met because of this - People who need substitute medication for substance misuse may not be able to receive the medication resulting to people start using or using more illegal substances.	Medium 15 Significant (3) Very Likely (5)		• Services have updated their Business Continuity Plans and looked at workforce planning.	Victoria Tovey	Control	Medium 8 Moderate (2) Likely (4)
<b>Review Comments</b>	as Central Government has agreed a plan and a transitional phase in underway this will continue to be reviewed during this time 31/01/2020						

## Strategic and Corporate Services

### Risk Register - Public Health

Risk Ref	Risk Title and Event	Owner	Last Review da	Next Review			
PH0092	<b>NHSE funding HIV services</b>	Victoria Tovey	31/01/2020	29/04/2020			
<p>NHSE have agreed to fund up to £1.4m for HIV services but capacity modelling has indicated services cost up to £1.6m. PrEP pilot is funded by PHE but they only cover the cost of the drugs, therefore the clinic time and testing costs are a cost pressure to Local Authorities and there is an increasing demand for this service.</p>							
Cause	Consequence	Current Risk	Previous Current Risk	Control / Action	Control / Action	Target Date	Target Risk
Financial uncertainty including NHSE funding for HIV services and PREP Pilot and also uncertainty over Pension Gap funding for NHS Staff	We may be overspent on Sexual Health services	Medium 15 Significant (3) Very Likely (5)		• Open book accounting with both providers and also NHSE to monitor costs. Quarterly meetings with NHSE to monitor this and wording in section 75 proposes that they meet any shortfall.	Victoria Tovey	Control	Low 6 Moderate (2) Possible (3)
<b>Review Comments</b>	this remains under review at budget monitoring meetings 31/01/2020						

## Strategic and Corporate Services

### Risk Register - Public Health

Risk Ref	PH0090	Risk Title and Event	Owner	Last Review da	Next Review		
		<b>Health Visitor and School Nurses staff recruitment</b>	Victoria Tovey	31/01/2020	30/04/2020		
There is a risk that high numbers of staff leave and that not enough new staff can be recruited to sustain the service.							
Cause	Consequence	Current Risk	Previous Current Risk	Control / Action	Control / Action	Target Date	Target Risk
Difficulties in recruiting and retaining nursing staff, specifically Health Visitors and School Nurses. There is a national shortage of qualified Health Visitors. The number of Health Visitor student places funded by Health Education England has declined.	Service delivery is impacted. Clinical and Safeguarding risk to children within the Health Visiting and Schol Public Helath Service. Some visits may have to be postponed or reprioritised.	Medium		<ul style="list-style-type: none"> <li>Risk reviewed on a monthly basis at 0-5 Service Governance and Public Health Governance meeting. Progress with recruitment and retention reported at the Executive Performance Review meeting.</li> <li>A safe staffing, safe working protocol has been agreed to effectively manage the workload of the teams in a safe and consistent manner.</li> <li>Quarterly reviews of the operating model for health visiting undertaken.</li> <li>Band 5 Community Public Health Nurse role has been introduced to provide additional support to cover universal workloads.</li> <li>Bank and agency staff are being recruited to support teams where possible to cover vacant posts.</li> <li>Recruitment and retention action plan is in place and monitored through the Quality Action Team and governance meetings.</li> <li>Continual review on a weekly basis of the Health Visiting workload allocated to district teams overseen by the District Manager.</li> </ul>	Control		Medium
		12			Control		8
		Significant (3)			Control		Moderate (2)
		Likely (4)			Control		Likely (4)
					Control		
					Control		
					Control		
<b>Review Comments</b>	staffing vacancy rates have seen a reduction and will continue to be monitored 31/01/2020						

## Strategic and Corporate Services

### Risk Register - Public Health

Risk Ref	Risk Title and Event	Owner	Last Review da	Next Review			
PH0093	KCHFT - new system implementation	Victoria Tovey	31/01/2020	29/04/2020			
There is a risk that implementation may result in issues in data accuracy or downtime of services.							
Cause	Consequence	Current Risk	Previous Current Risk	Control / Action	Control / Action	Target Date	Target Risk
KCHFT is implementing a new system across the Trust. This will be used to record and report on delivery of PH services.	This would impact on KCC's ability report nationally on Health check, smoking and sexual health services.	Medium 12 Significant (3) Likely (4)		<ul style="list-style-type: none"> <li>Disucsion through contract management, phased by roll out by the trust and testing.</li> <li>Appointed a project manager for the roll out.</li> </ul>	Victoria Tovey	Control	<p>Low</p> <p>6</p> <p>Moderate (2)</p> <p>Possible (3)</p>
<b>Review Comments</b>	continued to be reviewed and discussed as part of the contract monitoring meetings 31/01/2020						

## Strategic and Corporate Services

### Risk Register - Public Health

Risk Ref	PH0083	Risk Title and Event	Owner	Last Review da	Next Review		
		<b>Public Health Ring Fenced Grant</b>	Andrew Scott-Clark	18/02/2020	18/05/2020		
Ensuring/assuring the Public Health ring fenced grant is spent on public health functions and outcomes, in accordance within National Guidance							
Cause	Consequence	Current Risk	Previous Current Risk	Control / Action	Control / Action	Target Date	Target Risk
Public Health Ring fenced Grant is spent in accordance within National Guidance	If it does not comply with national guidance could result in the DPH not being able to sign the Annual Public Health Grant declaration which could result in an external audit taking place leading to similar consequences to that of Northamptonshire County Council (i.e. Public Health England seeking a return of Public Health Grant)	Medium 12 Significant (3) Likely (4)		<ul style="list-style-type: none"> <li>Commissioners to conduct regular contract monitoring meetings with providers</li> <li>Providers to complete timely monthly performance submissions to ensure delivery of outcomes</li> <li>Agreed funding for Staff apportionment across Public Health, social care Adult, Social Care Children, business support and analytics functions to support public health outcomes functions and outcomes</li> <li>Agreement of money flow between Public Health ring-fenced grant and the Strategic Commissioning Division</li> <li>Continued budget monitoring through collaborative planning</li> <li>Regular review of public health providers, performance, quality and finance are delivering public health outcomes</li> <li>DPH and Section 151 Officer are required to certify the statutory outturn has been spent in accordance with the Department of Health &amp; Social care conditions of the ring fenced grant</li> </ul>	Victoria Tovey -Accepted Victoria Tovey -Accepted Andrew Scott-Clark A -Accepted Andrew Scott-Clark A -Accepted Avtar Singh Control Victoria Tovey Control Andrew Scott-Clark Control	31/03/2019 29/03/2019 31/03/2020 28/02/2020	Low 2 Minor (1) Unlikely (2)
<b>Review Comments</b>	Continuation of monitoring spend through budgetary meetings with commissioners and the DPH 18/02/2020						

## Strategic and Corporate Services

### Risk Register - Public Health

Risk Ref	PH0001	Risk Title and Event			Owner	Last Review da	Next Review			
<b>CBRNE incidents, communicable diseases and incidents with a public health implication</b>					Andrew Scott-Clark	18/02/2020	18/05/2020			
Failure to deliver suitable planning measures, respond to and manage these events when they occur.										
Cause	Consequence	Current Risk	Previous Current Risk	Control / Action		Control / Action	Target Date	Target Risk		
<p>The Council, along with other Category 1 Responders in the County, has a legal duty to establish and deliver containment actions and contingency plans to reduce the likelihood, and impact, of high impact incidents and emergencies.</p> <p>The Director of Public Health has a legal duty to gain assurance from the National Health Service and Public Health England that plans are in place to mitigate risks to the health of the public including outbreaks of communicable diseases e.g. Pandemic Influenza.</p> <p>Ensuring that the Council works effectively with partners to respond to, and recover from, emergencies and service interruption is becoming increasingly important in light of recent national and international security threats and severe weather incidents.</p>	<p>Potential increased harm or loss of life if response is not effective.</p> <p>Increased financial cost in terms of damage control and insurance costs.</p> <p>Adverse effect on local businesses and the Kent economy.</p> <p>Possible public unrest and significant reputational damage.</p> <p>Legal actions and intervention for failure to fulfil KCC's obligations under the Civil Contingencies Act or other associated legislation.</p>	Medium		<ul style="list-style-type: none"> <li>KCC and local Kent Resilience Forum partners have tested preparedness for chemical, biological, radiological, nuclear and explosives (CBRNE) incidents and communicable disease outbreaks in line with national requirements. The Director of Public Health has additionally sought and gained assurance from the local Public Health England office and the NHS on preparedness and maintaining business continuity</li> <li>The Director of Public Health works through local resilience fora to ensure effective and tested plans are in place for the wider health sector to protect the local population from risks to public health.</li> <li>Kent Resilience Forum has a Health sub-group to ensure co-ordinated health services and Public Health England planning and response is in place</li> <li>DPH now has oversight of the delivery of immunisation and vaccination programmes in Kent through the Health Protection Committee</li> </ul> <p>DHP has regular teleconferences with the local Public Health England office on the communication of infection control issues</p> <p>DPH or consultant attends newly formed Kent and Medway infection control committee</p>	Andrew Scott-Clark	Control		Medium		
		12								12
		Serious (4)								Serious (4)
		Possible (3)								Possible (3)
<b>Review Comments</b>		The Risk owner has reviewed and no changes are currently required								
		18/02/2020								



## Strategic and Corporate Services

### Risk Register - Public Health

Risk Ref	Risk Title and Event			Owner	Last Review da	Next Review	
PH0089	Increase in Buprenorphine Drug Costs			Victoria Tovey	31/01/2020	29/04/2020	
There is a risk that providers will not being able to fund the additional costs due to the spike in costs for Buprenorphine.							
Cause	Consequence	Current Risk	Previous Current Risk	Control / Action	Control / Action	Target Date	Target Risk
Increase price of Buprenorphine - one of the main drugs used in Opioid Substitution Treatment (OST)	Services being overspent on their contracted values	Medium 10 Moderate (2) Very Likely (5)		• Develop a plan for 2020/21 to support any decisions as to whether KCC will continue to fund from the PH reserves	Victoria Tovey	Control 29/02/2020	Medium 8 Moderate (2) Likely (4)
<b>Review Comments</b>	being monitored through both contract and budget monitoring neetings 31/01/2020						

## Strategic and Corporate Services

### Risk Register - Public Health

Risk Ref	Risk Title and Event	Owner	Last Review da	Next Review			
PH0005	<b>Health Inequalities</b>	Andrew Scott-Clark	18/02/2020	18/05/2020			
<p>These areas have high rates of premature mortality (deaths occurring under the age of 75 years) due to causes such as cardiovascular disease, respiratory disease and alcohol-related disease and cancer; causes that are strongly linked to unhealthy behaviours such as poor diet, physical inactivity, smoking and excessive alcohol. The risk is that whilst health is improving in general these communities health would not improve at the same rate as less deprived communities</p>							
Cause	Consequence	Current Risk	Previous Current Risk	Control / Action	Control / Action	Target Date	Target Risk
Analysis of health inequalities in Kent shows that health outcomes are much worse in the most deprived decile areas in Kent.	The average life expectancy in the most deprived decile areas in Kent is 76 years for men and 80 years in women, compared to 83 years and 86 years respectively in the most affluent areas. These inequalities will lead to rising health and social care costs for the council and its partners amongst those groups least able to support themselves financially	Medium 9 Significant (3) Possible (3)		<ul style="list-style-type: none"> <li>Ensure that commissioning takes account of health inequalities when developing service based responses. For example Health trainers have a target to work with 25% of people from most deprived wards</li> <li>Ensure that an analytical focus remains on the issue of health inequality, providing partners and commissioners with the detail needed to focus support on this issue</li> <li>Refresh action plan for the Mind the Gap strategy, work with partners, such as District councils and CCGs to coordinate efforts to tackle health inequalities</li> <li>Where relevant use the Public Health England campaign and behaviour change tools, and expand this activity by targeting areas identified through Mind the Gap Analysis</li> </ul>	Karen Sharp  Gerrard Abi-Aad  Andrew Scott-Clark  Andrew Scott-Clark	Control  Control  Control  Control	Low 6 Moderate (2) Possible (3)
<b>Review Comments</b>	The risk owner has reviewed and no changes are required.						
	18/02/2020						

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### Risk Register - Public Health

Risk Ref	Risk Title and Event	Owner	Last Review da	Next Review				
PH0002	<b>Implementation of new models</b>	Andrew Scott-Clark	18/02/2020	18/05/2020				
That the reduction in resource available to the new services will hamper the new services in their ability to deliver.								
Cause	Consequence	Current Risk	Previous Current Risk	Control / Action	Control / Action	Target Date	Target Risk	
Public Health is working to transform both children's and adults services, to deliver services more aligned with the need of the people of Kent. Whilst also facing reducing budgets	Reduction in outcomes for customers, and the ability of the services to meet key objectives, including the reduction of health inequalities	Medium 9 Significant (3) Possible (3)		<ul style="list-style-type: none"> <li>Develop a long term resource allocation plan, taking account of likely financial resources over next four years</li> <li>Public Health commissioning function in place to ensure robust commissioning process is followed</li> <li>Opportunities for Joint Commissioning in partnership with key agencies and cross-directorate (health, social care) being explored.</li> <li>Regular meetings with provider and representative organisations (LMC, LPC). Regular meet the market events to support commissioning processes</li> <li>Working to a clear strategy, and to an advanced agenda allows for good communication with providers and potential providers</li> <li>Analyse long term financial situation, and developing services that will be sustainable</li> </ul>	Andrew Scott-Clark Karen Sharp Karen Sharp Karen Sharp Karen Sharp Andrew Scott-Clark	A-Accepted Control Control Control Control	29/02/2020	Low 4 Moderate (2) Unlikely (2)
<b>Review Comments</b>	the risk owner has reviewed and no changes are required 18/02/2020							